

NAVAJO NATION DEPARTMENT OF JUSTICE

OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH Attorney General HEATHER CLAH Deputy Attorney General

DEPARTMENT OF JUSTICE INITIAL ELIGIBILITY DETERMINATION FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: HK 0323-2
Date & Time Received: 4/22/24 at 09:33
Date & Time of Response: 05/14/24 at 17:00
Entity Requesting FRF: Thoreau Chapter
Title of Project: Home Renovation and Improvement
Administrative Oversight: Division of Community Development
Amount of Funding Requested: \$843,818.00
Eligibility Determination: ✓ FRF eligible FRF ineligible Additional information requested FRF Eligibility Category: ✓ (1) Public Health and Economic Impact (3) Government Services/Lost Revenue ✓ (4) Water, Sewer, Broadband Infrastructure
U.S. Department of Treasury Reporting Expenditure Category: 2.18, Housing Support: Other Housing Assistance

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures): Expenditure Plan incomplete Missing Form Funds will not be obligated by Supporting documentation missing 12/31/2024 Project will not be completed by 12/31/2026 Incorrect Signatory Ineligible purpose Submitter failed to timely submit CARES reports Inconsistent with applicable NN or Additional information submitted is insufficient federal laws to make a proper determination Other Comments: Note that Navajo Nation law, pursuant to CJN-29-22, prohibits providing cash assistance to individuals. Name of DOJ Reviewer: Veronica Blackhat, AAG-NRU Signature of DOJ Reviewer: Vm Bluff

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. Please email your resubmission to arpa@nndoj.org. Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

APPENDIX A

document attached

THE NAVAJO NATION FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN FOR NON-GOVERNANCE CERTIFIED CHAPTERS

Part 1. Identification of parties.

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Non-Governance Certified Chapter Thoreau Chapter requesting FRF:	Date prepared:11/08/2023
Chanter's PO Box 899	phone/email: 505.905.0139
Chapter's PO Box 899 mailing address: Thoreau, NM 87323	website (if any): thoreau.navajochapters.org
This Form prepared by: Vivinita Bennett	phone/email: niabb2@nnchapters.org
Vivinita Bennett, Community Services Coordinator CHAPTER CONTACT PERSON'S name and tille	
Title and type of Project: Thoreau Chapter - Home Renovation	n and Improvement
Chapter President: Valerie Arviso	phone & email: valeriearviso@gmail.com
Chapter Vice-President: Herman Yellowhorse	phone & email: 505.905.0221
Chapter Secretary: Judy K. Platero	phone & email: jkplatero@gmail.com
Chapter Treasurer: Judy K. Platero	phone & email: jkplatero@gmail.com
Chapter Manager or CSC: Vivinita Bennett	phone & email: niabb2@nnchapters.org
DCD/Chapter ASO: Guarena Adeky	phone & email: gadeky@nndcd.org
List types of Subcontractors or Subrecipients that will be paid with FRF (if kr	
Editypes of Cassos Masters of Cassos piones that this so paid that the	document attached
Amount of FRF requested: \$843,818.00 FRF funding period: De	cember 1, 2023 - September 1, 2026
Amount of the Tequested.	Indicate Project starting and anding/daadline date
Part 2. Expenditure Plan details.	
(a) Describe the Program(s) and/or Project(s) to be funded, including how and what COVID-related needs will be addressed:	the funds will be used, for what purposes, the location(s) to be served,
Thoreau Chapter is requesting Home renovations and registered members. All Registered members are incownership. Each home renovation and/or improvementeds/assessments. Bathroom to kitchen fixtures, room to kitchen fixtures, room to kitchen fixtures, room to kitchen fixtures.	cluded for this assistance with proof of home ent will vary according to their ofing, skirting of mobile homes to keep
temperatures warm, adequate weather-tight seal wind damaged by weather/water/age, ventilation, etc The	gows, flooring, replacement of walls and cellings trough home assessments, households will be

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

Housing renovation and/or improvement to serve our Chapter Navajo community who have substandard housing, multi-generational housing, and no immediate means to make repair their homes. This project seeks to improve some substandard housing issues identified during the COVID-19 pandemic. We will be helping those in need with a decent, safe, and sanitary...

identified. The community members that did their due diligence and requested their (continued...)

APPENDIX A

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026: Projects will be completed by September 2026. With funds anticipated to be disbursed by September 2023. This will be a 2-tier project, 1-homeowner has someone that can do the labor or 2-homeowner needs assistance with the labor. If labor is needed, they will be scheduled into small, manageable ☑ document attached sections to ensure quality of work and completion is within the time frame. (d) Identify who will be responsible for implementing the Program or Project: Vivinita Bennett, Chapter CSC, Valerie Arviso, Chapter President, Herman Yellowhorse, Vice President, Judy Platero, Secretary Treasurer, Guarena Adeky, SPPS-ASC - Navajo Division of Community Development ASO-ASC, Navajo Nation ARPA Division. (e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively: Respectively, the home owner and its occupants would be responsible for the operations and maintenance of their homes. ☐ document attached (f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why: 2.18 Housing Support: Other Housing Assistance: To support our Native community obtain decent, safe, and sanitary housing for themselves and their families. To improve multi-generational home and substandard home conditions for improved health and safety conditions. document attached Part 3. Additional documents. List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A): Appendix J Chapter Housing Discretionary Policy (because we will be following this policy for this project) Part 4. Affirmation by Funding Recipient. Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies: Chapter's Approved by: Preparer: ure of Prepared CONTACT PERSON Approved to submit 04/18/2024 for Review. RFO Director

Page 1 of 3 BUDGET FORM 1

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THE NAVAJO NATION PROGRAM BUDGET SUMMARY

PART I. Business Unit No.:	New	Program Title:	토	Thoreau Chapter - Home Renovation & Improvement	& Improvement	Division/Branch:	DCD/Executive	le le
Prepared By: Vivinita	Vivinita Bennett, CSC	Phone No.:	No.:	505.905.0139	Email Address:		niabb2@nnchapters.org	
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	MARY Type	(A) NNC Approved	(B)	(C) Difference or
NN Fiscal Recovery Funds	12/01/23-09/01/26	\$843,818.00	100%		Code	Original Budget	Proposed Budget	Total
				2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities	Utilities			
				6000 Repairs and Maintenance	ance 6	0	\$843,818.00	\$843,818.00
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
					TOTAL	N \$0.00	\$843,818.00	\$843,818.00
				PART IV. POSITIONS AND VEHICLES	VEHICLES	(a)	(E)	
				Total # of F	Total # of Positions Budgeted:	0	0	
	TOTAL:	\$843,818.00	100%	Total # of	Total # of Vehicles Budgeted:	J: 0	0	
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION	DGE THAT THE INF	ORMATION CON	TAINED	CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.	S COMPLETE AND	ACCURATE.		
SILBMITTED BY: Jaron A	Jaron M. Charley, Department Manager II	rtment Manage	=	APPROVED BY:	Arbin	Arbin Mitchell, Excutive Director	rector	
	Program Manager's Printed Name	Printed Name			Division Dire	Division Director / Branch Chief's Printed Name	rinted Name	
	7/	04/18/20	24				04/18/2024	2024
Pro	Program Manager's Signature and	gnature and Date			Division Directo	Division Director / Branch Chief's Signature and Date	ature and Date	
							S	

FY 2024

THE NAVAJO NATION PROGRAM PERFORMANCE CRITERIA

Page 2 of 3 BUDGET FORM 2

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FY 2024

PART I. PROGRAM INFORMATION: Business Unit No: New Program Name/Title:	Tho	reau Chapter - Home R	Thoreau Chapter - Home Renovation & Improvement	ent
RESOLUT and approving Nation F	39. We intend to follov enovations and Improv	v Navajo Nation Procure ements.	ment Policy and the Cha	pter Housing
PART III. PROGRAM PERFORMANCE CRITERIA:	St O	рq	rdQ	th Q
	Goal Actual	Goal Actual	Goal Actual	Goal Actual
1. Goal Statement: To improve some substandard housing issues in our community for				
Community members to have a decent, safe, and sanitary home.				
Program Performance Measure/Objective:				
Renovate Community/Registered Chapter members homes				20
2. Goal Statement:				
Program Performance Measure/Objective:				
3. Goal Statement:				
Program Performance Measure/Objective:				
4. Goal Statement:				
Program Performance Measure/Objective:				
5. Goal Statement:				
Program Performance Measure/Objective:				
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED. Jaron M. Charley, Departmept/Mänager II Program Manager's Printed/Marne O4/18/2024 Program Manager's Signature and Pate	LY REVIEWED. / Division Division	D. Arbin Mitchell, Excutive Director Division Director/Branch Chief's Printed Name 04/1 Division Director/Branch Chief's Signature and Date	ers Printed Name ers Printed Name 04/18 s Signature and Date	04/18/2024 Date

Page 3 of 3 BUDGET FORM 4

THE NAVAJO NATION DETAILED BUDGET AND JUSTIFICATION

	(0)	Total by MAJOR Object Code (LOD 4)	843,818.00	843,818.00
New	(5)	Total by DETAILED Object Code (LOD 6)	843,818.00	843,818.00
Business Unit No.:		fication (LOD 7)	Repair & Maintenance Supplies \$843,818.00 Applicants with dire needs that submitted requests early on (73 Applicants) = \$235,818.00 354 Applicants @ \$2,000 = \$608,000.00 For home maintenance and/or repair including but not limited to windows, doors, insulation, flooring, roofing materials, kitchen cabinets/fixtures, bathroom cabinets/showers/fixtures.	TOTAL
Thoreau Chapter - Home Renovation & Improvement	(B)	Object Code Description and Justification (LOD 7)	Repair & Maintenance Supplies \$843,818.00 Applicants with dire needs that submitted requests early on (73 Applicants) = \$235,818.00 354 Applicants @ \$2,000 = \$608,000.00 For home maintenance and/or repair including but not limited to windows, doors, insulation materials, kitchen cabinets/fixtures, bathroom cabinets/showers/fixtures.	
PART I. PROGRAM INFORMATION: Program Name/Title:	DETAILED BUDGET:		6020 Supples:	
PART I. F	PART II. (A)	Object Code (LOD 6)	4 0	

FY 2024

THE NAVAJO NATION PROJECT BUDGET SCHEDULE

Page 1 of 1 PROJECT FORM

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PART I. Business Unit No.: New																	п	PART II.			P	oject li	Project Information	tion	
Project Title: Thoreau Chapter - Home Renovation & Improvement	er - Home Reno	vation	& Impr	oveme	ţ												T.	Project Type:		Home	Reno	vation	Home Renovations/Improvements	vemer	ıts
	aintenance of C	hapter	Comn	nunity/	Regist	ered M	ember	Homes									ū.	lanned	Planned Start Date:	ate:	12	12/1/2023	23		
																	u.	lannec	Planned End Date:	ate:	./6	9/1/2026	9		
Check one box:	☐ Original Budget	let		☐ Budget Revision	Revision	uc		☐ Budget Reallocation	allocat	tion	D Bud	get Mc	回 Budget Modification	uo			ш	roject	Project Manager:	DCD	8				
PART III.	PART IV. Us	e Fisca	al Year	(FY) (Juarte	rs to co	mplet	Use Fiscal Year (FY) Quarters to complete the information below.	ormati	on belo		Oct.; 1	O = Oct.; N = Nov.; D = Dec., etc.	- C ::	Dec.,	etc.				Г	Expec	ted Co	Expected Completion Date if	n Date	:=
List Project Task separately; such				FY 2023	123							}		Œ	FY 2024		-			+	projec	ot exce	project exceeds 8 FY Qtrs.	FY QL	3.
as Plan, Design, Construct, Equip or Furnish.	1st Otr.	2	2nd Qtr.		310	3rd Qtr.		4th Otr.	<u></u>	~	1st Otr.		2nd Qtr.	ند	8	3rd Otr.	\neg	41	4th Qtr.	-		ate: 0	Date: 09/01/2026	126	
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Application Process						- Children																			
Home Assessments																un			301	-					
Identify SOW & Materials																					-				
Procure Materials																									
Hire Personnel 2-Construction Supervisor 5-Laborers		THE RESIDENCE STRUCTURE.					A STREET, ST. AND ADDRESS OF THE PARTY OF TH																		
Repairs & Maintenance							and the First Association															<u></u>	A Company of the London		
Close Out Assessment (Inspection)							AND ASSESSMENT OF THE PARTY OF			-														5 10 10 10 10 10 10 10 10 10 10 10 10 10	
																									
PART V.	S	_	S		1	69	+	S			s	H	S		Ц	S	\Box		S	H		PROJ	PROJECT TOTAL	JTAL	
Expected Quarterly Expenditures						0.00		0.00			0.00	_	157,500.00	00.	15	157,500.00	0	157	157,500.00	\dashv		88	\$843,818.00	8	

OMB Analyst:

Company No:

FMIS Set Up Date:

Resolution No:

FOR OMB USE ONLY: